

NB Appendix
CIP-003-3-NB-0 - Cyber Security - Security Management Controls

This appendix establishes modifications to the FERC approved NERC standard CIP-003-3 for its specific application in New Brunswick. This appendix must be read with CIP-003-3 to determine a full understanding of the requirements of the standard for New Brunswick. Where the standard and appendix differ, the appendix shall prevail.

A. Introduction

- 1. Title:** Cyber Security – Security Management Controls
- 2. Number:** CIP-003-3
- 3. Purpose:** No New Brunswick modifications
- 4. Applicability:**
 - 4.1.** No New Brunswick modifications
 - 4.1.1** No New Brunswick modifications
 - 4.1.2** No New Brunswick modifications
 - 4.1.3** No New Brunswick modifications
 - 4.1.4** No New Brunswick modifications
 - 4.1.5** No New Brunswick modifications
 - 4.1.6** No New Brunswick modifications
 - 4.1.7** No New Brunswick modifications
 - 4.1.8** No New Brunswick modifications
 - 4.1.9** No New Brunswick modifications
 - 4.1.10** NERC
 - 4.1.11** Northeast Power Coordinating Council (NPCC)
 - 4.2.** No New Brunswick modifications
 - 4.2.1** No New Brunswick modifications
 - 4.2.2** No New Brunswick modifications
 - 4.2.3** No New Brunswick modifications
- 5. Effective Date:** Upon approval of the New Brunswick Energy and Utilities Board

B. Requirements

- R1.** No New Brunswick modifications
 - R1.1.** No New Brunswick modifications
 - R1.2.** The cyber security policy is readily available to all

personnel who have access to, or are responsible for, Critical Cyber Assets. (Retirement of this requirement becomes effective upon New Brunswick Energy and Utilities Board approval.)

R1.3. No New Brunswick modifications

R2. No New Brunswick modifications

R2.1. No New Brunswick modifications

R2.2. No New Brunswick modifications

R2.3. No New Brunswick modifications

R2.4. No New Brunswick modifications

R3. Exceptions – Instances where the Responsible Entity cannot conform to its cyber security policy must be documented as exceptions and authorized by the senior manager or delegate(s). (Retirement of this requirement becomes effective upon New Brunswick Energy and Utilities Board approval.)

R3.1. Exceptions to the Responsible Entity's cyber security policy must be documented within thirty days of being approved by the senior manager or delegate(s). (Retirement of this requirement becomes effective upon New Brunswick Energy and Utilities Board approval.)

R3.2. Documented exceptions to the cyber security policy must include an explanation as to why the exception is necessary and any compensating measures. (Retirement of this requirement becomes effective upon New Brunswick Energy and Utilities Board approval.)

R3.3. Authorized exceptions to the cyber security policy must be reviewed and approved annually by the senior manager or delegate(s) to ensure the exceptions are still required and valid. Such review and approval shall be documented. (Retirement of this requirement becomes effective upon New Brunswick Energy and Utilities Board approval.)

R4. No New Brunswick modifications

R4.1. No New Brunswick modifications

R4.2. The Responsible Entity shall classify information to be protected under this program based on the sensitivity of the Critical Cyber Asset information. (Retirement of this requirement becomes effective upon New Brunswick Energy and Utilities Board approval.)

R4.3. No New Brunswick modifications

R5. No New Brunswick modifications

R5.1. No New Brunswick modifications

R5.1.1. No New Brunswick modifications

R5.1.2. No New Brunswick modifications

R5.2. No New Brunswick modifications

R5.3. No New Brunswick modifications

R6. No New Brunswick modifications

C. Measures

M1. The Responsible Entity shall make available documentation of its cyber security policy as specified in Requirement R1. Additionally, the Responsible Entity shall demonstrate that the cyber security policy is available as specified in Requirement R1.2. (Retirement becomes effective upon New Brunswick Energy and Utilities Board approval.)

M2. No New Brunswick modifications

M3. The Responsible Entity shall make available documentation of the exceptions, as specified in Requirement R3. (Retirement becomes effective upon New Brunswick Energy and Utilities Board approval)

M4. No New Brunswick modifications

M5. No New Brunswick modifications

M6. No New Brunswick modifications

D. Compliance

1. Compliance Monitoring Process

1.1. Compliance Enforcement Authority

1.1.1 New Brunswick Energy and Utilities Board for
New Brunswick Responsible Entities

1.1.2 NERC for NPCC

1.1.3 No New Brunswick modifications

1.2. Compliance Monitoring Period and Reset Time Frame

No New Brunswick modifications

1.3. Compliance Monitoring and Enforcement Processes

No New Brunswick modifications

1.4. Data Retention

1.4.1 No New Brunswick modifications

1.4.2 No New Brunswick modifications

1.5. Additional Compliance Information

1.5.1 No New Brunswick modifications

2. Violation Severity Levels (To be developed later)

E. Regional Variances

No New Brunswick modifications

Version History (maintained by NBEUB)

Version	NBEUB Approval Date	NB Appendix Effective Date	Change Tracking	Comments
0	06/11/14	06/11/14	1. Revised section A to identify the Regional Entity as NPCC 2. Revised section A to change the effective date to the NBEUB approved effective date of 10/01/11 3. Revised section D to clarify the NBEUB as the entity having compliance enforcement authority for NB and replaced ERO with NERC and	Approved Under NBEUB Matter 245

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			Regional Entity with NPCC 4. Revised R1.2, R3, R3.1, R3.2, R3.3, R4.2, M1 and M3 to change retirement date to the NBEUB approved retirement date of 06/11/14	